

F. No. 8/3/2021-DGTR  
Ministry of Commerce & Industry  
Department of Commerce  
Directorate General of Trade Remedies  
4<sup>th</sup> Floor, Jeevan Tara building,  
5, Parliament Street, New Delhi -110001

Dated: 29<sup>th</sup> July, 2021

**Trade Notice No.: 05/2021**

**Subject: Simplification of existing application/petition to be filed by the Domestic Industry in Anti-Dumping investigation- reg.**

Attention of all members of Trade and Industry is invited to the existing application formats filed by the domestic industry for imposition of anti-dumping duty.

2. There have been representations from domestic producers and their associations for simplification of the existing application formats. To ascertain the difficulties faced by the domestic industry, stakeholder consultations were also held.

3. Accordingly, to meet their demands as also to fulfil the objective of reduction of compliance burden for citizens and business, the existing application formats have been simplified and aligned with the updated Act and Rules. Requirement of non-essential and repetitive information has been dispensed with. Some formats have been deleted altogether whereas some others have been merged.

4. The new application proforma along with revised formats for the Anti-Dumping application to be filed by the Domestic Industry is annexed herewith.

5. Henceforth, Petitioner/Domestic Industry shall use the new/revised formats specified above. The instructions contained in this trade notice supersedes all previous instructions or Trade Notices, issued by the Directorate on this subject.

  
(Anant Swarup)  
Designated Authority



सत्यमेव जयते

GOVERNMENT OF INDIA  
MINISTRY OF COMMERCE & INDUSTRY  
DEPARTMENT OF COMMERCE

# *ANTI-DUMPING*

# *APPLICATION PROFORMA*

DIRECTORATE GENERAL OF TRADE REMEDIES

Website: <https://www.dgtr.gov.in>

Email: [dgtr-india@gov.in](mailto:dgtr-india@gov.in)

**Address:**

**Directorate General of Trade Remedies (DGTR)**

4th Floor, Jeevan Tara Building,

5, Parliament Street,

Patel Chowk,

New Delhi-110001

India

## INTRODUCTION

This Directorate has considered the need to streamline antidumping documentation so as to make it more concise and comprehensive. This publication contains the revised format and guidelines for applications seeking anti-dumping action.

The petitioners are expected to furnish a fully documented petition with the information as per the revised format and the footnotes in each part thereof. The information so submitted should be drawn from reliable source. Wherever required the petitioner should annex the copies of requisite documents to substantiate facts and figures presented.

The officers of the Directorate will be available for any assistance required in the completion of a fully documented petition to present prima facie evidence of dumping, injury and causal link thereof as required by the Rules governing the process of Anti dumping investigations.

## GENERAL

1. The applicant is advised to familiarize themselves with Sections 9A, 9B, and 9C of the Custom Tariff Act, 1975 and the Customs Tariff (Identification, Assessment and Collection of Anti-Dumping Duty on Dumped Articles and for Determination of injury) Rules, 1995 before filing a petition. The applicant may also refer to the Brochure issued by this Directorate on the subject.
2. The Designated Authority would initiate investigations to determine the existence, degree and effect of any alleged dumping upon receipt of a fully and properly documented petition made by or on behalf of the domestic industry in accordance with Rule 5(1).
3. Rule 5(3) requires the Designated Authority to examine the accuracy and adequacy of the evidence provided in the application and satisfy itself that there is sufficient evidence regarding dumping, injury where applicable and a causal link between dumped imports and the alleged injury to justify the initiation of an investigation.
4. No petition will therefore be taken on record of this Directorate until it is fully and properly documented and until all necessary and sufficient information are furnished by the petitioner. In case of any problem faced in filling the application the Help Desk and Facilitation Centre of the Directorate may be contacted for assistance and help. The petitioner must ensure that the application filed by them is complete in all respects and is fully documented, so that it may be taken on record by the Authority and necessary action be initiated.
5. The petition should specifically cover, inter alia, the following:
  - (i) information on the imported product;
  - (ii) information the domestic industry and the domestic market;
  - (iii) evidence of dumping;
  - (iv) evidence of injury; and
  - (v) evidence of causal link
6. Confidential information: Rule 7 permits an interested party to furnish information on confidential basis. Any information which is by nature confidential (for instance, information the disclosure of which would be of significant competitive advantage to a competitor or because its disclosure would have a significantly adverse effect upon a person supplying the information or upon a person from whom that person acquired the information), shall be treated as such. Evidences relating to Normal Value, export price, costing, profitability, specific adjustments in pricing are examples of such information which is usually accepted by Designated Authority as confidential. If confidentiality is claimed on any other aspect, which generally is not as per the above criteria, the applicant/petitioner should give a brief statement of reasons as to why that particular information needs to be kept confidential. In case such information is furnished on confidential basis without recording any reasons for claiming it to be confidential, the Designated Authority may disregard such information. It is of utmost importance that each page and supplementary information furnished on confidential basis is clearly marked "confidential" on the face of it, failing which the request for confidentiality

may not be entertained. All documents/ arguments/ submissions or correspondence made on a confidential basis should necessarily be accompanied by a non-confidential summary, failing which such communication is liable to be ignored without making any other further reference to the supplier of such information, in view of the time limits laid down under the law.

7. The petition is required to be submitted in two copies along with one non-confidential version thereof. The Designated Authority may, however require additional copies before initiation of investigation at any time during the course of the investigations.
8. The Designated Authority may provide any information submitted by the applicant (or any other party ) on non-confidential basis to other interested parties in accordance with Rule 6.
9. The petition should contain information as detailed in the proforma enclosed herewith. The proforma enclosed is not a fill in proforma and, therefore, should be treated as a questionnaire. Any information not requested in the proforma which may be necessary or of importance, may also be furnished.
10. Applicants are advised to consider a time period for providing the information. The time period chosen as Period of Investigation should preferably be 12 months. It is desirable that this period be most recent and correspond to the accounting year of the domestic industry. All information, unless otherwise specified, should relate to this period.
11. The Designated Authority may request any additional and/ or supplementary information any time before or after the initiation of investigation.
12. All information unless otherwise specified should relate to the relevant product.
13. The Designated Authority requires detailed information on the extent of injury upon initiation. The information generally required to be furnished is indicated in Part-IV of these guidelines.
14. The application should be addressed to:  
  
The Designated Authority  
Directorate General of Trade Remedies  
4th Floor, Jeevan Tara Building,  
5, Parliament Street,  
Patel Chowk,  
New Delhi-110001 India
15. Please complete the certificate as at Format-X and declaration as at Format-Y, if applicable for submission along with the petition.

**PART – I:**

**IMPORTED PRODUCT INFORMATION**

1. Complete description of alleged dumped goods, including information on its size, quality, category and uses of such goods along with any applicable technical specifications or standards (national or international) and the ITC (HS) Code, Basic Customs Duty and applicable cess, Existing Import Policy (free/ restricted/ prohibited/ imports through STE) and change in import policy, if any, during the POI.
2. Country(ies) of origin of the alleged dumped goods.
3. Details of concluded or ongoing investigations, if any, relating to the PUC.
4. The proposed Period of Investigation (POI) and the Injury period. If the proposed POI is not a period of 12 months, then justification for the same.
5. Country-wise Volume, value and average CIF value of the subject goods imported into India, from all countries whether alleged to be dumped or not, for the past three years and the proposed POI and the source of information thereof. \*
6. Name(s), address(es), phone numbers and functional email ids of the following:
  - (a) known producers/exporters of the alleged dumped goods in each of the subject countries.
  - (b) known importers of the alleged dumped goods in India and/or the associations thereof.
  - (c) known users of the alleged dumped goods in India and/or the associations thereof.
  - (d) other domestic producers of the like product in India and/or the associations thereof.

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\*Source of the import data must be the DGCI&S.

## PART – II

### INDIAN INDUSTRY PROFILE\*\*

1. Provide the following relating to the Indian producers of the subject goods who are filing the Application:
  - (a) Functional email id, address and phone numbers of the Regd./Head Office including the Name, email id and mobile number of its contact person.
  - (b) Name, Functional email id, address and phone numbers of the manufacturing unit(s) of the subject goods including the Name, email id and mobile number of its contact person
2. Name(s), Functional email ids and address(es) of all Indian producers including the Applicant(s) along with their production volume of subject goods during the injury period (POI and past three financial years in continuity). Also indicate the status of each such producer (i.e. whether supporter, opposer or neutral).
3. The supporter must provide (relating to the subject goods) the Installed capacity with supporting evidence, production quantity and Sales Volume & Value (separately for Domestic, Export and Captive consumption).
4. Provide the details of the concerned line ministry and department in Govt. of India for the subject goods.
5. Provide the following details relating to the end-use product(s) manufactured out of the subject goods:
  - (a) Concerned line ministry and department in Govt. of India.
  - (b) The impact of the duty on the end-use product(s) (quantify such impact)
6. Whether the product(s) manufactured by the Applicants are commercially and technically substitutable for the alleged dumped goods.
7. Subject goods (including size, type, range, models) that petitioner(s) produces.
8. (a) Do any of the petitioner(s) import the subject goods. If Yes, provide the country-wise value and volume of such imports from all countries. Also provide the detailed reasons for importing the subject goods. Give details of selling price to the end-users of such imported goods including a list of such end users.  
  
(b) Are any of the petitioners related to the exporters or importers of the alleged dumped article? If yes, provide the country-wise value and volume of such imports from all countries relating to such exports / imports so made by that related entity
9. Whether the concerned product of the applicant is like-article of subject goods in terms of AD Rules. Also indicate any difference in the production process employed by the petitioner(s) and the foreign producers. Quantify the impact of such differences, if any, on cost and/or prices.

10. Provide the details of end-users/ consumers of like product in India including sales quantity and value for each of them. Quantify the impact of duty on cost of the end-product, if possible, with detailed calculations.
11. Details of volume losses during the injury period due to:
  - (a) shutdown (normal/maintenance/planned and abnormal/unplanned) and reasons therefore along with stock position during the shutdown
  - (b) force-majeure situations like flood, earthquake, fire, other natural calamities, etc.

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\*\* The Applicants should provide all the information contained in the latest trade notice relating to “Prima-facie scrutiny of applications for completeness of documents as per the checklist”

**PART – III**

**EVIDENCE OF DUMPING**

**3.1 Estimates of Normal Value**

1. Provide the estimates of normal value of the subject goods in subject countries as below:

a. If domestic sales can be used, then provide price lists, commercial/ sales invoices, trade journals, etc. indicating domestic prices.

OR

b. Evidence of export price to an appropriate third country.

OR

c. Cost of Production (COP) of the subject goods in the country of export/origin for construction of normal value (provide source of data and calculation of such COP)

2. Adjustments for normal value at ex-factory level (supported with evidence).

3. Normal Value at ex-factory level (after adjustments).

**3.2 Estimates of Net Export Price:**

Provide the following information, country-wise, with respect to the Net Export Price of the product for the POI.

1. Average Export Price to India and its basis (e.g. FOB, CIF, FOR, etc)

2. Adjustments for Export Price at ex-factory level (supported with evidence)

3. Net export price (after adjustments).

**3.3 Estimates of Dumping Margin:**

Provide details of estimates of dumping margin for each subject country as below:

<b>Particulars</b>	<b>In local currency of producer/ exporter</b>	<b>Exchange Rate vis-a-vis US \$</b>	<b>In US\$</b>
Ex-factory Normal Value per unit			
Ex-factory Export Price per unit			
Dumping Margin per unit			
Dumping Margin as % of CIF Export Price			

## **PART IV**

### **EVIDENCE OF INJURY**

The information relating to the following injury parameters for the period of investigation and previous three financial years may be furnished:

1. Change in imports from the subject country(ies), in absolute terms and in relation to production and consumption in India;
2. Changes in market share held by domestic industry, other Indian producers, imports from subject countries and imports from other countries;
3. Change in sales volumes, output/production, or utilization of capacity
4. Change in productivity, employment and wages
5. Change in profits, cash flow, return on investments, inventories, growth, ability to raise capital investments
6. Price injury parameters including price undercutting, price underselling and price suppression or price depression.

Provide the above information as per Proforma IV-A and IV-B.

## **PART – V**

### **EVIDENCE OF CAUSAL LINK**

1. Volume and value of imports from countries other than the subject country(ies) and an explanation as to why imports from these country(ies) especially from where the imports are above *de-minimis* are not causing injury to domestic industry.
2. In case the demand has undergone decline substantially, an explanation on why such decline has not caused injury to the domestic industry.
3. State whether trade restrictive practices of and competition amongst the foreign and/or domestic producers, developments in technology, export performance or the productivity of the domestic industry or any other known factors have caused injury to the domestic industry. If no, explain why.
4. Provide the production during any shut-down month/ quarter (segregating between normal and abnormal) in the plant during the injury period. Also provide the inventory levels and other relevant details during that time.
5. Provide whether there are any constraints (related to raw materials shortage, power shortage, impact of any tax differential, lack of adequate capacity or investment constraints, etc. as applicable to the domestic industry in relation to the production or sales of subject goods. Provide the relevant details in this regard.
6. Evidence of lost contracts.

## **PART VI**

### **COSTING INFORMATION**

1. **Production Process:** Stage-wise process of manufacturing including its various routes of such manufacturing along with process-flow chart indicating cycle time taken at each process.
2. Statement of consumption of raw materials, packing materials and utilities used for PUC production and Details of expenses (procured domestically/imported or from related/unrelated party) during the POI as per **Format VI-1.**
3. Statement of cost of production as per **Format VI-2.** The basis of allocation may be clearly mentioned. The PUC figures in Format VI-2 must be provided as per the financial records. Further, in case the Cost Audit Report has dedicated cost of production for the PUC, then provide the Cost Audit Report for the IIP along with the reconciliation of the financial and cost records maintained by the company.
4. Provide the calculation of the ratios used in the costing formats for allocation of expenses, working capital or net fixed assets as per **Format VI-2R** which shall be duly linked with the respective formats, wherever used.
5. Provide PCN-wise summarised Statement of Expenses, if proposed/claimed, as per **Format VI-3.**
6. Calculations in Excel of Average Working Capital (for opening & closing period of POI) and Average Net fixed Assets (for the IIP) as per **Format VI-4.** The basis of allocation may be clearly mentioned.
7. In case of major new investment (i.e. beyond small de-bottlenecking, etc.) for the PUC during the IIP, provide the date of installation of machinery, its average useful life on such date and its detailed project report as submitted to the relevant authorities/ financial institutions or, if not so submitted, as approved by the management of the company.
8. Statement showing plant-wise NIP for the applicant companies constituting DI separately along with the weighted average NIP for the DI as a whole as per **Format VI-5.**
9. A statement showing Installed Capacity, Production and Net Sales Realisation (Qty. and value) for the PUC (month-wise for the POI).
10. Provide for the POI, the Audited/certified signed (searchable pdf document) annual financial statements (and notes annexed thereto) including director's, auditor's report. Also provide for the POI, relevant excel of P&L and balance sheet including notes to financial statements and 'trial balance relevant to PUC as per **Format VI-2T** duly linked with costing formats.
11. Provide for the past three financial years, the Audited annual financial statements including director's and auditor's report (searchable pdf document).

Note: All the information, unless otherwise stated, should relate to the POI. All the information is subject to verification, and therefore, all supporting papers, including working sheets may be preserved for verification on demand by the Authority.

**Proforma IV-A**

**Economic Parameters of Domestic Industry (PUC)**

S.No	Information Relating to Product Under Consideration only	Units	FY-1	FY-2	FY-3	POI
<b>Section-A</b>						
1	Installed Capacity*	MT				
2	Total Production Qty (PUC+NPUC)*	MT				
3	Capacity Utilization	%				
4	Production Qty of PUC	MT				
5	Captive Consumption of PUC	MT				
<b>6</b>	<b>Sales Quantity</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>
6a	(a) Domestic Sales	MT				
6b	(b) Export Sales	MT				
<b>7</b>	<b>Gross Sales Value (excluding taxes)</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>
7a	(a) Domestic Sales	Rs Lacs				
7b	(b) Export Sales	Rs Lacs				
<b>8</b>	<b>Average Selling Price Per unit:</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>
8a	(a) Domestic Sales	Rs./MT				
8b	(b) Export Sales	Rs./MT				
9a	No of employees	Nos.				
9b	Salaries & Wages	Rs Lacs				
9c	Productivity per day	MT				
9d	Productivity per employee	MT				
9e	Productivity per day per employee	MT				
10a	Opening Inventory	MT				
10b	Closing Inventory	MT				
<b>10</b>	<b>Average Inventory</b>	<b>MT</b>				
10c	Average Inventory as no. of days of production	MT				
10d	Average Inventory as no. of days of Sales	MT				
<b>11</b>	<b>Cost and profits for domestic sales:</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>	<b>xxxx</b>
	Cost of Sales (ex-factory)	Rs Lacs				
	Commission, Discounts, Rebate, Freight, etc.	Rs Lacs				
	Net Sales Realisation	Rs Lacs				
	PBT (Profit before Tax)	Rs Lacs				
	Interest Cost	Rs Lacs				
	PBIT (Profit before Interest & Tax)	Rs Lacs				
	Depreciation	Rs Lacs				
	PBDIT (Profit before Depreciation, Interest & Tax)	Rs Lacs				
	Cash Profit (PBT+Depreciation)	Rs Lacs				
	Cost of Sales (ex-factory)	Rs./MT				
	Net Sales Realisation per unit	Rs./MT				
	PBT (Profit before Tax)	Rs./MT				
	Interest Cost	Rs./MT				
	PBIT (Profit before Interest & Tax)	Rs./MT				
	Depreciation	Rs./MT				
	PBDIT (Profit before Depreciation, Interest & Tax)	Rs./MT				
	Cash Profit (PBT+Depreciation)	Rs./MT				
<b>12</b>	<b>Average capital employed</b>	<b>Rs Lacs</b>				
	Net Fixed Assets	Rs Lacs				
	Working Capital	Rs Lacs				
	PBIT as % of Average Capital Employed (ROI)	%				

S.No	Information Relating to Product Under Consideration only	Units	FY-1	FY-2	FY-3	POI
13	<b>Details of Self-Imports by the Applicant:</b>	XXXX	XXXX	XXXX	XXXX	XXXX
	Import Volume	MT				
	Import Value (CIF)	Rs. Lacs				
	Import Price (CIF)	Rs./MT				
	Resale price of self-imported goods	Rs./MT				
Section-B						
14	<b><u>Import Volumes:</u></b>	XXXX	XXXX	XXXX	XXXX	XXXX
	Imports from each of the Subject Countries (separate rows may be inserted for each country)	MT				
	Imports from Non-subject Countries attracting ADD (separate rows may be inserted for each country)	MT				
	Aggregate Imports from Other Countries	MT				
	Total Imports	MT				
	Sales of the domestic industry	MT				
	Sales of Other Domestic Producers	MT				
	Total Demand/Consumption excluding Captive	MT				
	Total Demand/Consumption including Captive	MT				
15	<b><u>Import Values (CIF):</u></b>	XXXX	XXXX	XXXX	XXXX	XXXX
	Imports from each of the Subject Countries (separate rows may be inserted for each country)	Rs. Lacs				
	Imports from Non-subject Countries attracting ADD (separate rows may be inserted for each country)	Rs. Lacs				
	Aggregate Imports from Other Countries	Rs. Lacs				
	Total Imports	Rs. Lacs				
16	<b><u>Import Price (CIF):</u></b>	XXXX	XXXX	XXXX	XXXX	XXXX
	Imports from each of the Subject Countries (separate rows may be inserted for each country)	Rs./MT				
	Imports from Non-subject Countries attracting ADD (separate rows may be inserted for each country)	Rs./MT				
	Aggregate Imports from Other Countries	Rs./MT				
	Total Imports	Rs./MT				

Only the yellow highlighted cells in the above table to be filled in.

Section-A is to be filled by each company constituting the domestic industry and Section-B by the domestic industry as a whole.

\* If the plant can produce both PUC and NPUC and the capacity of the plant cannot be identified separately or cannot be segregated for PUC and NPUC, then the capacity will be aggregated for both PUC and NPUC together.

**Proforma IV-B**

**Country-Wise Landed Value**

Provide details regarding export price, custom duty etc. and work out the landed value of imports per unit of the alleged dumped product for each of the subject country(ies).

Name of the exporting country:									
S. No.	Particulars	FY-1		FY-2		FY-3		POI	
		Qty.	Value	Qty.	Value	Qty.	Value	Qty.	Value
		MT	Rs. Lacs	MT	Rs. Lacs	MT	Rs. Lacs	MT	Rs. Lacs
1	Avg. CIF price (Rs.)								
2	Avg. Exchange Rate								
3	Avg. CIF Price (USD)								
4	Landing charges, if applicable								
5	<b>Avg. Assessable value (3+4)</b>								
6	Basic Custom Duty, including cess								
7	<b>Landed Value of Imported Product (5+6)</b>								
8	Non-Injurious Price (NIP) claimed by domestic industry as per Format VI-5								
9	<b>Injury Margin (8-7)</b>								

Note: Separate proforma for each of the exporting country is to be furnished

**Format-X**

In the matter of application for initiation of anti-dumping investigation concerning imports of .....(PUC) originating in or exported from ..... (Subject Countries)

**CERTIFICATE BY THE CHIEF EXECUTIVE OF THE COMPANY /DIRECTORS/PARTNERS OR THE PROPRIETOR OF THE FIRM**

1. I, Shri/Ms..... (full name) am the .....(designation) of M/s.....(name of applicant company constituting the 'domestic industry for the PUC') and am authorized for filing the submissions for the subject application on behalf of the company. I have personally verified all documents/information/evidence (as given in Annexure) with the original documents, books of accounts and records and on the basis of such verification do hereby certify:

- a) That the data/information/documents/evidence so provided in the application are accurate and adequate inter-alia in terms of Rule 5(3) of the AD Rules 1995, the Customs Tariff Act 1975 and the trade notices/circulars issued thereunder by the Authority from time to time;
- b) That the documents mentioned at S.No. from \_\_\_ to \_\_\_ of Annexure are complete, authentic, and correct extracts of or compilation from the books of accounts consistently maintained by the company in the ordinary course of business;
- c) That the documents mentioned at S.No. from \_\_\_ to \_\_\_ of Annexure are true and exact copies of original documents available in the company for verification;
- d) That all the information/ documents/ evidence submitted are true and correct to the best of my knowledge and belief;
- e) That I have neither knowingly and/ or wilfully concealed or misrepresented any information nor made any false statement;
- f) That there is no misstatement/ misrepresentation of facts in the documents/information/ data/ evidence submitted herewith.

2. I am fully aware:

- a) I shall be responsible for the consequences of any deliberate or wilful and/or fraudulent concealment, mis-declaration or misrepresentation in any manner whatsoever;
- b) That in the event of:
  - (i) any statement/document/data/information/evidence found to be incorrect, misleading, misrepresented, fraudulent, concealed or mis-declared or contrary to the facts;
  - (ii) any refusal of access to the information/documents/data/evidence on demand as and to the extent deemed necessary by the Authority; or
  - (iii) impeding the investigation in any manner whatsoever.

the Designated Authority reserves the right to take any action against my company including the whole/partial rejection of our submissions, use of best/adverse facts available, rejection of our application/petition or debarring my company from representing in DGTR as an interested party for any specified period.

- c) That I herewith undertake to provide the relevant books of accounts, records, original documents, evidences, etc. for verification as and when demanded by the Authority.

Signature: \_\_\_\_\_

Name of Signatory: \_\_\_\_\_

Designation: \_\_\_\_\_

Date: \_\_\_\_\_



**Annexure to Format-X**

With regard to the application for initiation of anti-dumping investigation concerning imports of ..... (PUC) originating in or exported from ..... (Subject Countries), I submit the following documents/information:

**List of documents with Index**

<b>Sl. No.</b>	<b>Name of Documents</b>	<b>Page ..... to .....</b>

**Format-Y**

In the matter of application for initiation of anti-dumping investigation concerning imports of .....(PUC) originating in or exported from ..... (Subject Countries)

**DECLARATION BY AUTHORISED LEGAL REPRESENTATIVE (ALR)**

1. I, Shri/Ms..... (full name) being the .....(designation) of M/s.....(name of the ALR firm filing the petition/application/submission on behalf of the 'domestic industry for the PUC') hereby declare that I have been duly authorized by M/s....., M/s ..... and M/s..... (the names of the companies constituting the 'domestic industry for the PUC') for filing the subject application/submission on behalf of the domestic industry. I do hereby certify:

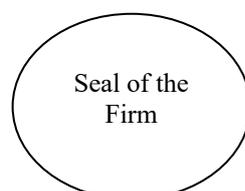
- a) That I have sought and obtained all the data/information/documents/evidence/explanations as necessary and sufficient for the purposes of preparation of application/petition in terms of the AD Rules 1995, the Customs Tariff Act 1975 and the trade notices/ circulars issued thereunder by the Authority from time to time;
- b) That I have duly incorporated the said information, explanations, etc. provided by the above named companies constituting the domestic industry in the subject application after following the principles specified in the relevant Act/Rules/trade notices/circulars, etc. mentioned in the preceding paragraph;
- c) That the said information, explanations, evidences, etc. are accurate and adequate in terms of Rule 5(3) of the AD Rules 1995;
- d) That all the information, documents, evidence, etc. submitted are true and correct to the best of my knowledge and belief;
- e) That I have neither knowingly and/ or wilfully concealed or misrepresented any information nor made any false statement;
- f) That there is no misstatement/ misrepresentation of facts in the documents/information/ data/ evidence submitted herewith.

2. I am fully aware:

- a) That I shall be responsible for the consequences of any deliberate or wilful and/or fraudulent concealment, mis-declaration or misrepresentation in any manner whatsoever;
- b) That in the event of:
  - (iv) any statement/document/data/information/evidence found to be incorrect, misleading, misrepresented, fraudulent, concealed or mis-declared or contrary to the facts;
  - (v) any refusal of access to the information/documents/data/evidence on demand as and to the extent deemed necessary by the Authority; or
  - (vi) impeding the investigation in any manner whatsoever.

the Designated Authority reserves the right to take any action against my firm including the whole/partial rejection of our submissions, use of best/adverse facts available, rejection of the subject application/petition or debarring my firm from representing in DGTR for any specified period.

- c) That I herewith undertake to arrange the relevant books of accounts, records, original documents, evidences, etc. for verification as and when demanded by the Authority.



Signature: \_\_\_\_\_  
Name of Signatory: \_\_\_\_\_  
Designation: \_\_\_\_\_  
Date: \_\_\_\_\_

**Format VI-1**

**Statement of Consumption of Raw Materials (RM), Packing Materials (PM) and Utilities Used For PUC Production**

Particulars	POI (Year-4)						Year-3		Year-2		Year-1	
	Use of Captively Produced raw materials/ Utility for PUC		Actual consumption (company level for PUC & NPUC)		Actual consumption PUC		Actual consumption PUC		Actual consumption PUC		Actual consumption PUC	
	A1		A2		A3		B		C		D	
	Qty	Value	Qty	Value	Qty	Value	Qty	Value	Qty	Value	Qty	Value
MT	Rs. Lacs	MT	Rs. Lacs	MT	Rs. Lacs	MT	Rs. Lacs	MT	Rs. Lacs	MT	Rs. Lacs	
<b>Production of PUC</b>		xxxx		xxxx		xxxx		xxxx		xxxx		xxxx
<b>Raw Material:</b>	xxxx											
Raw Material -1												
Raw Material -2												
Any other*												
Change in WIP**												
Credit for Scrap/ by-product												
Packing Material												
<b>Total</b>												
<b>Utilities:</b>	xxxx											
Power												
Fuel												
Any Other*												
<b>Total</b>												

\*Please specify the name of the raw material and utilities shown in above tables. Also attach Standard consumption as per Bill of Materials (BOM).

\*\*Details of WIP at the beginning and end of the investigation period, clearly indicating break up of material cost and overheads charged in the valuation, may be given separately.

**Details of expenses (procured domestically/imported or from related/unrelated party) during the POI**

Name of the Raw Material purchased, Utility, Job-work, any other service, etc.	Country of origin including India (imported/domestic)	Related (R)/ Unrelated (UR)	POI		
			UOM	Qty	Value (Rs. Lacs)
<b>Total</b>					

**Format VI-2**

**STATEMENT OF COST OF PRODUCTION**

(Rs. Lacs)

Sl No	Particulars	POI				FY-3		FY-2		FY-1		Basis of Apportionment/ Allocation	
		Total (Company as a whole) reconciled with audited/ certified financial statements	Share Applicable to			Total (Company as a whole) as per Audited Financial Statements of Company	PUC (Plant-wise)*	Total (Company as a whole) as per Audited Financial Statements of Company	PUC (Plant-wise)*	Total (Company as a whole) as per Audited Financial Statements of Company	PUC (Plant-wise)*		
			PUC (Plant-wise)*	Common Utilities, if any**	Captive Input, if any								NPUC
													(Plant -1) Value
1	Total Cost of Raw Material consumed (reconciled with Format VI-1 for PUC)												
2	Cost of captive inputs/utilities												
3	Credit for sales of scrap/by products												
4	Cost of primary Packing Material consumed												
5	Consumables stores and spares/other inputs												
6	Cost of Utilities consumed (reconciled with Format VI-1 for PUC)												
7	Salaries & wages												
8	Depreciation and Amortisation Expenses												
9	Other Manufacturing Overheads												
10	Bank Charges												
11	Financial Costs												
12	Other Administration Overheads												
13	Other Selling & Distribution overheads (like advertisement, business promotion, etc.)												
14	Variable Selling Overheads (like Commission, Freight, Discount, Export-related expenses etc.)												
15	Other/Miscellaneous expenses, if any												
16	Allocation of Common utilities, if any**												
17	Credit for other income, if any												
18	Change in inventories of finished goods												
19	<b>Cost of Sales (1 To 25)</b>												
20	Domestic Sales												
21	Export Sales												
22	<b>Total Sales</b>												
23	<b>Profit before tax</b>												
24	Corporate tax for the year												
25	<b>Profit after Tax</b>												
26	Production Value (22-18)												

\*PUC figures shall be provided as per financial records.

**Format VI-2R**

**Calculation of Ratios used in respective Costing Formats**

	<b>Basis of Allocation/ Apportionment</b>	<b>Company level</b>	<b>PUC</b>	<b>NPUC</b>	<b>Ratio of PUC to Company</b>
	Production Quantity				
	Production Value				
	Sales Quantity				
	Sales Ratio				
	Machine hours				
	Direct labour hours				
	Any other reasonable/ Justifiable basis				

The calculation of relevant/applicable ratios, which have been used in the costing formats for allocation of expenses, working capital or net fixed assets, may be given here for the IIP. However, these ratios may be duly linked with the PUC columns in respective formats for the POI, wherever used for allocation of common expenses/assets to PUC along with separately providing the direct expenses/assets for the PUC.

**Trial Balance relevant to PUC for the POI**

<b>Particulars/ Sub-heading</b>	<b>Debit</b>	<b>Credit</b>	<b>Balance</b>	<b>Whether P&amp;L or BS</b>	<b>Heading as per financial statement</b>	<b>Heading as per Format VI-2</b>

Sum of Debit and Credit must be equal.

**FORMAT VI-3**

**PCN\* wise summarised Statement of Expenses**

<b>PCN No.</b>	<b>Production Quantity</b>	<b>Sales Quantity</b>	<b>Sales Value</b>	<b>Total Raw Material Cost</b>	<b>Conversion Cost</b>	<b>Total Cost</b>
	<b><u>MT</u></b>	<b><u>MT</u></b>	<b><u>Rs. Lacs</u></b>	<b><u>Rs. Lacs</u></b>	<b><u>Rs. Lacs</u></b>	<b><u>Rs. Lacs</u></b>
<b>Total</b>						

*\* PCNs could be identified on the basis of difference in technical characteristics like performance, physical features, price, cost and usage differences etc. In general parlance, the PCNs get identified as grades, types and forms etc.*

**Format VI-4**

**Working Capital (WC)**

S. No.	Components of WC (head wise)	POI Closing		POI Opening		Basis of allocation for PUC
		Total (Company as a whole) reconciled with audited/certified financial statements	PUC (Plant Wise)*	Total (Company as a whole) reconciled with audited/certified financial statements	PUC (Plant Wise)*	
1						
2						
3						
4						
	<b>WORKING CAPITAL</b>					

\*The above information may be given for each plant producing the PUC.

Note: The above information may be duly linked with the audited/certified accounts.

**Net Fixed Assets (NFA)**

S. No.	Components of NFA (Head Wise)	POI Closing		POI Opening		FY-3		FY-2		FY-1		Basis of allocation for PUC
		Total (Company as a whole) reconciled with audited/certified financial statements**	PUC (Plant Wise)*	Total (Company as a whole) reconciled with audited/certified financial statements**	PUC (Plant Wise)*	Total (Company as a whole) as per Audited Financial Statements of Company**	PUC (Plant Wise)*	Total (Company as a whole) as per Audited Financial Statements of Company**	PUC (Plant Wise)*	Total (Company as a whole) as per Audited Financial Statements of Company**	PUC (Plant Wise)*	
1												
2												
3												
4												
5												
	<b>Total</b>											

\*The above information may be given for each plant producing the PUC by adding the relevant columns.

\*\*The revaluation of fixed assets, if at any time, shall be reported separately.

Note: The above information may be duly linked with the audited/certified accounts or with the supplementary records (preferably fixed asset register) as consistently maintained by the company.

**Format VI-5****Name, Place & State of the Plant location:****Computation of optimum production of (Name of the company constituting the DI)- Plant-wise (POI):**

Period	Installed Capacity	Production	Capacity Utilization	Optimum production
	MT	MT	%	MT
POI				
Year 3				
Year 2				
Year 1				

**Computation of NIP (Plant wise for the POI)**

Elements of Cost to make and sell	Claimed NIP of PUC as per Annexure-III to AD Rules, 1995	
	Value (Rs. Lacs)	Cost per unit (Rs./MT)
Optimum Cost of Raw Materials Consumed		
Cost of Primary Packing Materials Consumed		
Consumable stores and spares		
Optimum Cost of Utilities consumed		
Salaries & Wages		
Depreciation and Amortization expenses		
Other Manufacturing Overheads*		
Other Administration Overheads*		
Selling & Distribution Overheads (allowable)*		
Selling Overheads (non-allowable like Commission, Freight, Discount, Export-related expenses etc.)*		
Other/ Miscellaneous Expenses*		
Other Income*		
<b>Total Cost of Sales excluding Finance Cost</b>		
Return towards Finance Costs (Actual)		
Return towards Pre-tax Profit (Balance of 22% ROCE)		
<b>Non Injurious Price</b>		

\*Breakup of such expenses may be provided, duly linked.

**Return (@ 22% on Average Capital Employed)**

<u>Particulars</u>	<u>UOM</u>	<u>Amount</u>
Optimum Annualized Production	MT	
NFA-Opening	Rs. Lacs	
NFA-Closing	Rs. Lacs	
Average NFA	Rs. Lacs	
<b>Average Net fixed asset per unit</b>	<b>Rs./MT</b>	
Average Working capital for PUC	Rs. Lacs	
Total Cost of sales (excluding finance cost)	Rs. Lacs	
Depreciation	Rs. Lacs	
Total Cost of sales (excluding depreciation and finance cost)	Rs. Lacs	
%of working capital in Cost of Sales	%	
Total Cost of sales (excluding finance cost)	Rs./MT	
Depreciation	Rs./MT	
Total Cost of sales (excluding depreciation and finance cost)	Rs./MT	
<b>Average Working capital per unit</b>	<b>Rs./MT</b>	
Average Capital employed	Rs./MT	
Return (@ 22% on Average Capital Employed)	Rs./MT	

Glossary of terms:

- DI: Domestic Industry
- DM: Dumping Margin
- NIP: Non-Injurious Price
- PUC: Product Under Consideration (NPUC: Non-PUC)
- PCN: Product Control Number
- POI: Period of Investigation
- IIP: Injury Investigation Period (i.e. past three financial years and the POI)
- NV: Normal Value
- CNV: Constructed Normal Value
- NEP: Net Export Price\_LV: Landed Value
- IM: Injury Margin
- STE: State Trading Enterprise
- CIF: Cost, Insurance and Freight
- FOB: Free on Board
- FOR: Free on Rail
- CFR: Cost and Freight
- DGCI&S: Directorate General of Commercial Intelligence & Statistics
- NME: Non-Market Economy
- GNI: Gross National Income
- P&L: Profit & Loss Account
- BS: Balance Sheet
- TB: Trial Balance